

TNRF2 (CL03)

List of Procedures with Restrictions and Thresholds

Policy number	TNRF2 (CL03)
Version	4.7
Approved by	Governing Body in Common
Name of originator/author	Dr. Liz Saunders, on behalf of the Surrey Priorities Committee
Owner (director)	Clinical Governance, Clinical Quality and Patient Safety Committee
Date of last approval	February 2020

Version control sheet

Version	Date	Author	Status	Comments / changes since last version
1	March 2013	Amended from NHS Surrey policy CLIN 13 (b) version 1 October 2012.	Final	For approval by Executive Committee and Governing Body March 2013
2	July 2013	Amended from NHS Surrey policy CLIN 13 (b) version 1 October 2012	Final	Final version approved by Governing Body 19 July 2013
3	February 2015	Amended from NHS Surrey policy CLIN 13 (b) version 2 by Dr. Liz Saunders. Approved by Surrey Priorities Committee	Final	Changes agreed by Priorities Committee: TNRF2 003; Adenoidectomy, criteria added TNRF2 005; Grommets, thresholds clarified TNRF2 006; Pinnaplasty age limits increased TNRF2 009; D&C, NICE criteria added TNRF2 013; Labiaplasty criteria added Arthroscopy of hand and wrist removed from policy Arthroscopy of elbow removed from policy TNRF2 016; Balloon kyphoplasty criteria clarified
3	February 2015	Amended from NHS Surrey policy CLIN 13 (b) version 2 by Dr. Liz Saunders. Approved by Surrey Priorities Committee	Final	TNRF2 020; Hallux valgus, new criteria added TNRF2 023; Vertebroplasty, criteria clarified TNRF2 038; Radiofrequency denervation for facet joints, criteria clarified Metal on metal hip resurfacing removed and transferred to TNRF policy TNRF2 026; Blepharoplasty moved from TNRF1 policy and new criteria introduced TNRF 030; Breast reduction moved from TNRF1 policy. TNRF2 031; Criteria for removal and replacement of breast implants added. Varicose veins new criteria introduced. TNRF2 025; Chalazion criteria clarified TNRF2 019; Ganglion criteria clarified

Version	Date	Author	Status	Comments / changes since last version
				TNRF2 027/028/029; Hernia repair criteria clarified TNRF2 037; Circumcision criteria clarified
3.1	May 2015	Updates and Reformatting	Final	TNRF2 040; Hyperhidrosis, treatment of – criteria added TNRF2 039; Male Breast Reduction for Gynaecomastia criteria added TNRF2 033; Open MRI addition to criteria
3.2	January 2016	Updates as agreed by Surrey Priorities Committee	Final	Radiofrequency denervation for facet joints moved to TNRF1 policy TNRF2 002; Viral Wart procedures – criteria amended TNRF2 010; Female genital prolapse – criteria clarified TNRF2 023A; Total Hip Replacement – criteria added TNRF2 023B; Total Knee Replacement – criteria added TNRF2 023C; Hip Impingement Syndrome – criteria added TNRF 2 034; Epidural Injections for Sciatica – criteria amended Radiofrequency Spinal Denervation moved to TNRF1 policy TNRF2 026; Blepharoplasty/Ptosis Criteria amended
3.3	July 2016	Updates as agreed by Surrey Priorities Committee	Final	Inserted: Patients should be encouraged to stop smoking prior to surgery into each criteria TNRF2 030; Female Breast Reduction – Non-smoker removed from criteria TNRF2 033B: Inserted Ketogenic diet for the treatment of neurological conditions TNRF2 035; Facet Joint Injections – criteria reworded TNRF2 025; Excision of Chalazion – criteria reworded
3.4	October 2016	Updates as agreed by Surrey Priorities Committee	Final	TNRF2 034; Epidural injections for Sciatica – criteria reworded TNRF2 035; Facet Joint Injections – criteria reworded TNRF2 042; Gallstones, surgical treatment of – criteria inserted

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3.5	April 2017	Updated as agreed by Surrey Priorities Committee	Final	TNRF2-004: – Bone Anchored Hearing Aid removed from policy, managed by NHS England. Continuous positive pressure for the obstructive sleep apnoea / hypoapnoea syndrome – Removed from policy. TNRF2 022: Trigger Finger, surgical techniques for the treatment of – Criteria revised. TNRF2 038: Varicose Veins – criteria amended.
3.6	May 2017	Updated as agreed by Surrey Priorities Committee	Final	Policy renamed TNRF2 041: Bariatric Surgery – criteria inserted TNRF2 024: Cataract – criteria revised
3.7	September 2017	Updated as agreed by Surrey Priorities Committee	Final	TNRF2 024: Cataract – criteria amended
3.8	December 2017	Updated as agreed by Surrey Priorities Committee	Final	TNFR2 001: Amendments to criteria, separate criteria for Lipomas TNRF2 007: Rhinoplasty and Septorhinoplasty criteria separated; septoplasty criteria introduced TNRF2 008: Tonsillectomy criteria amended TNRF2 015: Carpal Tunnel criteria amended TNRF2 018: Dupuytren's Contracture criteria amended TNRF2 027: Inguinal Hernia - 'Or' added in between each threshold
3.9	February 2018	Updated as agreed by Surrey Priorities Committee	Final	TNRF2 024: Cataract Surgery removed from this policy TNRF2 014: Arthroscopy of the knee criteria amended
4	October 2018	Updated as agreed by Surrey Priorities Committee	Draft	TNRF2 005: Grommets criteria updated to include detail relating to children with recurrent acute otitis media (rAOM) TNRF2 027: Inguinal Hernia TNRF2 028: Umbilical Hernia TNRF2 029: Incisional Hernia 'These criteria apply to adults only' added to each procedure

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4.1	December 2018	Updated as agreed by Surrey Priorities Committee	Draft	TNRF2 020: Hallux Valgus, surgical treatment of – criteria reworded TNRF2 043: Haemorrhoids, surgical treatment of – criteria inserted
4.1	December 2018	Governing Body	Final	Approved by Governing Body
4.2	June 2019	Updated as agreed by Surrey Priorities Committee	Draft	TNRF2 014: Uterine Artery Embolisation for fibroids in woman – Criteria inserted
4.2	July 2019	G&W Local Clinical Commissioning Committee; NWS Clinical Executive and SD Governing Body (following review by Clinical Cabinet)	Final	Approved
4.3	September 2019	Updated as agreed by Surrey Priorities Committee	Draft	TNRF2 034 Epidural Injections for Sciatica removed TNRF2 037 Facet joint injections - Therapeutic removed TNRF2 035: Lumbar Facet Joint injections inserted to replace TNRF2 037. TNRF2 036: Spinal Epidural injections inserted to replace TNRF2 034. TNRF2 045: Radiofrequency denervation inserted. TNRF2 044: Surgical removal of Varicocele – Criteria inserted. Metal-on-metal hip resurfacing removed. Equality Analysis reviewed.
4.4	December 2019	Governing Body	Final	Approved by Governing Body
4.5	December 2019	Surrey Priorities Committee	Draft	TNRF2 026 Changes to blepharoplasty criteria. TNRF2 010 Changes to criteria and name changed from Female Genital Prolapse to Pelvic Organ Prolapse. TNRF2 039 Male Breast Reduction for Gynaecomastia removed and inserted into TNRF1.

Version	Date	Author	Status	Comments / changes since last version
				Equality Analysis reviewed.
4.6	January 2020	Approved by 4 CCGs' Commissioning Committees	Final	Approved
4.7	February 2020	Surrey Priorities Committee	Final	TNRF2 008 Changes to Tonsillectomy criteria to reword and include reference to Tonsil Stones
4.7	February/ March 2020	Approved by ES, G&W and SD CCGs. (NWS pending.)	Final	

Equality statement

The Surrey CCGs aim to design and implement services, policies and measures that meet the diverse needs of our service, population and workforce, ensuring that none are placed at a disadvantage over others. We take into account the Human Rights Act 1998 and promote equal opportunities for all. This document has been assessed to ensure that no employee receives less favourable treatment on the protected characteristics of their age, disability, sex (gender), gender reassignment, sexual orientation, marriage and civil partnership, race, religion or belief, pregnancy and maternity.

Members of staff, volunteers or members of the public may request assistance with this policy if they have particular needs. If the member of staff has language difficulties and difficulty in understanding this policy, the use of an interpreter will be considered.

We embrace the four staff pledges in the NHS Constitution. This policy is consistent with these pledges.

See next page for an Equality Analysis of this policy.

Equality Analysis for Policies

Equality analysis is a way of considering the effect on different groups protected from discrimination by the Equality Act, such as people of different ages. There are two reasons for this:

- to consider if there are any unintended consequences for some groups
- to consider if the policy will be fully effective for all target groups

Title of Policy: List of Procedures with Restrictions and Thresholds	Policy Ref: TNRF2 (CL03)
Assessment conducted by (name, role): Taylor Joel Keech, IFR & Prior Approval Administrator James Forbes, IFR & Prior Approval Administrator	Start date for analysis: 12/04/2019 Finish date: 28/05/2019
Give a brief summary of the policy. Explain its aim. TNRF2 ensures that some procedures and operations will only be carried out if the patient fully meets that procedure's defined medical criteria.	
Who is intended to <u>benefit from</u> this policy? Explain the aim of the policy as applied to this group. TNRF2 benefits patients because there may be alternative procedures or treatments available which may be more effective or should be tried first and/or benefits of the procedure are temporary or limited.	
1. Evidence considered. <i>What data or other information have you used to evaluate if this policy is likely to have a positive or an adverse impact upon protected groups when implemented?</i> NHSE / NICE guidance.	
2. Consultation. <i>Give details of all consultation and engagement activities used to inform the analysis of impact.</i> Dr Ruchika Gupta, IFR & Prior Approval Clinical Lead – Clinical input and checks. Liz Patroe – Consultation on structure and checks.	
3. Analysis of impact	
Are there any likely impacts for this group? Will this group be impacted differently by this policy? Are these impacts negative or positive? What actions will be taken to mitigate identified impacts?	
a) People from different age groups (Age)	TNRF2 003: The criteria for adenoidectomy applies only to children with Otitis Media. This is because it is routinely funded for adults. TNRF 006: Pinnaplasty / Otoplasty is not routinely funded for adults on cosmetic grounds. Children under 5 are not

	<p>recommended to have these procedures following NICE guidance.</p> <p>TNRF2 014: The criteria for arthroscopy of the knee which restricts funding for patients with degenerative knee disease (DKD) has a negative impact on elderly patients, in whom the disease is most common. This is because poor evidence for efficacy of knee arthroscopy for DKD verses conservative management in the long term.</p> <p>TNRF2 015: The criteria for the surgical treatment of carpal tunnel syndrome is weighted to positively impact the elderly, in whom the condition is most likely to occur.</p> <p>TNRF2 018: The criteria for the treatment of Dupuytrens contracture is weighted towards elderly patients, in whom the condition is also most likely to occur. However, younger patients with Dupuytrens are impacted neutrally as one of the criteria covers characteristics of Dupuytrens diathesis i.e. earlier age of onset.</p> <p>TNRF2 033B: The criteria for ketogenic diet for the treatment of neurological conditions restricts funding for patient over 18 years of age. This is because there is a limited evidence-base to support the use of a ketogenic diet in adults.</p> <p>Other than the above, the criteria within TNRF2 apply equally to all patients regardless of age.</p>
<p>b) People with disabilities (Disability)</p>	<p>TNRF2 002: Patients who are immunocompromised are positively impacted as they meet criteria for all viral warts whilst other patients are negatively impacted as they can only meet criteria exclusively for genital / anal warts.</p> <p>TNRF2 023 A & B: The criteria requiring patients to have a course of physiotherapy before a total hip replacement or a total knee replacement could negatively impact patients with disabilities. However, if the Prior Approval team receive a physiotherapy discharge letter stating that a patient is unable to finish a course, it will be taken into consideration.</p> <p>TNRF2 032: Equipment that has been recommended for patients as a result of ACA is not routinely funded. There needs to be a clearer justification as to why there was a decision previously not to fund equipment-only assessments.</p> <p>TNRF2 034 & 035: Similarly to TKR, the criteria requiring patients to have a course of physiotherapy before epidural injections for sciatica or therapeutic facet joint injections could negatively impact patients with disabilities. However, if the team receive a physio discharge letter stating that a patient is unable to finish a course, we take that into consideration.</p>

	<p>TNRF2 017: The first half of the criteria for discectomy for lumbar disc prolapse (elective) has an impact on patients who are unable to have an MRI or CT due to morbid obesity or claustrophobia. However, this is a neutral impact as these patients can have an open MRI scan through a Prior Approval under the TNRF2 033A criteria.</p> <p>TNRF2 020: If a patient has a disability which prohibits them from engaging with conservative management measures for hallux valgus, this will be taken into account with the Prior Approval.</p> <p>TNRF2 022: Patients with diabetes are impacted by the criteria for the surgical treatment of trigger finger, as they are approved for funding without needing to first attempt conservative management. The reason for this is that diabetes can affect the nervous system, increasing the risk of permanent nerve damage.</p> <p>TNRF2 27, 28 & 29: The criteria for the surgical repair of inguinal, umbilical and incisional hernia only affect adults. This is because hernia repair in children is routinely funded.</p> <p>Other than the above, the criteria within TNRF2 apply equally to all patients regardless of disability.</p>
<p>c) Men and women (Gender or Sex)</p>	<p>TNRF2 027: Cases of groin hernias in women are not affected by the criteria for inguinal hernia repair, as it is routinely funded. This is because due to anatomical variation, groin hernias are more likely to be femoral in females which are themselves routinely funded.</p> <p>TNRF2 030: The policy for female breast reduction is exclusively for female patients and excludes male patients. However, there is a male breast reduction prior approval for male patients.</p> <p>TNRF2 039: The policy for male breast reduction is exclusively for male patients and excludes female patients. However, there is a female breast reduction prior approval for female patients.</p> <p>Other than the above, the criteria within TNRF2 apply equally to all patients regardless of gender.</p>
<p>d) Religious people or those with strongly held philosophical beliefs (Religion or belief)</p>	<p>TNRF2 037: The criteria for circumcision requires a sound clinical reason for the procedure, therefore it would not be funded for religious reasons.</p> <p>The criteria within TNRF2 apply equally to all patients regardless of religion or beliefs. Decisions of whether a treatment or procedure is not carried out for religious reasons is a decision between the patient and the consultant.</p>
<p>e) People from black and minority ethnic groups (Race)</p>	<p>The criteria within TNRF2 apply equally to all patients regardless of race. The prevalence of conditions between races that require treatment restricted by the TNRF2 criteria is not discriminated against by said criteria.</p>

<p>f) People who have changed gender or who are transitioning to a different gender (Gender reassignment)</p>	<p>TNRF2 031: Male to female transgender people who had breast implants for cosmetic reasons would be negatively affected by the criteria for breast implant removal and replacement. If their implant(s) need to be removed for a sound clinical reason, removal would be funded but not replacement. This is because replacement is not funded for cosmetic reasons.</p> <p>All other procedures that relate to gender reassignment are carried out under the Gender Dysphoria clinical pathway and are not affected by our criteria.</p>
<p>g) Lesbians, gay men, bisexual people (Sexual orientation)</p>	<p>The criteria within TNRF2 apply equally to all patients regardless of sexual orientation.</p>
<p>h) Women who are pregnant or on maternity leave (Pregnancy and maternity)</p>	<p>TNRF2 011: As geographical differences to female sterilisation <i>reversal</i> policies are due to local variations / priorities / clinical judgement; the priorities committee has decided to adhere to NICE guidance for patients seeking female sterilisation <i>reversal</i> after having female sterilisation through our prior approval.</p> <p>TNRF2 012: The criteria for hysterectomy for heavy menstrual bleeding has a neutral impact on those who are or have been recently pregnant as the requirement is for the uterus to be no larger than a 10-week pregnancy. This is in line with NICE guidance. However, once the patient's uterus returns to a size less than a 10-week pregnancy, the patient is no longer impacted. Also, as with most surgical procedures, a consultant will defer a patient's routine surgery until 6 weeks post-natal.</p> <p>Other than the above, the criteria within TNRF2 apply equally to all patients regardless of pregnancy and maternity.</p>
<p>i) People who are married or in a civil partnership (Marriage and Civil Partnership)</p>	<p>The criteria within TNRF2 apply equally to all patients regardless of marriage or civil partnership.</p>
<p>j) Carers</p>	<p>The criteria within TNRF2 apply equally to all patients regardless of being a carer.</p>
<p>If any negative or positive impacts were identified are they valid, legal and/or justifiable? Please detail.</p> <p>Immunocompromised patients getting preferential treatment for TNRF2 002 (see disability) is justified as patient who are not immunocompromised and no not have genital / anal warts are only affected aesthetically.</p> <p>Otherwise, the impacts upon patients are based upon NICE and/or NHSE guidance.</p>	

4. Monitoring *How will you review/monitor the impact and effectiveness of your actions?*

If any changes are made to the policy and/or criteria, then this Equality Assessment will be reviewed for any alterations in impact.

Any complaints received by the IFR team or the Surrey Downs CCG patient experience team will be used to assess whether this Equality Assessment needs altering.

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List of Procedures with Restrictions and Thresholds

1. Dental

Policy Number	Procedure / Treatment	Guidance Notes
n/a	Impacted third molars	This service is commissioned and applications are managed by the NHS England
n/a	Dental Extraction of non-impacted teeth	This service is commissioned and applications are managed by the NHS England

2. Dermatology

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 001A	Removal of benign skin lesions	<p>Please note that where malignancy is suspected, the patient should be referred to an appropriate service under the two-week rule.</p> <p>Benign lesions (excluding lipomas and viral warts; please refer to the separate policy for these lesions).</p> <p>Clinically benign lesions should not be removed for cosmetic reasons and such procedures will not be funded. Details should be provided as to the nature of the lesion, the size and how it is affecting the patient.</p> <p>Removal of benign skin lesions is only available as a treatment option for patients where:</p> <ul style="list-style-type: none"> The lesions size or position causes serious functional limitations which severely impair activities of daily living*, as documented by the applicant <p>OR</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> There is documented evidence that the lesion is causing recurrent symptoms such as bleeding, infection or discharge over at least three months and has not responded to appropriate conservative treatment over this period. All clinicians must be prepared to justify to the CCG the criteria applicable for the treatment of any benign skin lesions. Any treatment of skin lesions outside of the criteria will not be funded. <p><i>* dressing, personal hygiene (washing and toileting), functional mobility (moving from one place to another to perform activities required in the home or at work) and meeting nutritional needs (shopping, preparing and eating food)</i></p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 001B	Lipomas	<p>Soft tissue subcutaneous lesions, particularly over 5 cm, which are rapidly enlarging and not clearly longstanding and asymptomatic may be a soft tissue sarcoma. Please refer these patients to the appropriate service under the two-week rule.</p> <p>Removal of lipomatous lesions is permitted where the lesion is associated with at least one of the following criteria:</p> <ul style="list-style-type: none"> The lesion is an obvious or proven lipoma that is large (> 5cm) <p>OR</p> <ul style="list-style-type: none"> The lesion causes serious functional limitation of movement resulting in documented impairment of activities of daily living (details of the impact on daily activities to be included in the application). <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 002	Viral Warts Procedures	<p>Viral warts are usually of aesthetic significance only and surgical removal and / or laser treatment is not routinely funded by the CCG.</p> <p>The CCG will fund removal of viral warts in patients who are immunocompromised. There are no restrictions of genital or anal warts.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> The patient is immunocompromised <p>OR</p> <ul style="list-style-type: none"> Does the patient have genital/anal warts <p>Patients should be encouraged to stop smoking prior to surgery</p>

3. ENT

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 003	Adenoidectomy	Adenoidectomy for Otitis Media in children will not be routinely funded but, combined with grommets, will be considered in children who fulfil the criteria (see section on grommets). NICE Guidance on Otitis Media recommends that adjuvant adenoidectomy is not recommended in the absence of persistent and/or frequent upper respiratory tract symptoms Patients should be encouraged to stop smoking prior to surgery
N/A	Bone-anchored hearing aid - unilateral	This service is commissioned and applications are managed by the NHS England
N/A	Bone-anchored hearing aids - bilateral	There is insufficient evidence to justify the use of bilateral bone anchored hearing aids (i.e. one on each side).
N/A	Cochlear implants	This service is commissioned and applications are managed by the NHS England
TNRF2 005	Grommets	Grommets for children should be undertaken in accordance with NICE Clinical Guidance 60 (Feb 2008) Surgical Management of Otitis Media with Effusion in Children (Under 12 years old).

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Grommets will be routinely funded for children with recurrent acute otitis media (rAOM). rAOM is defined as have three or more separate episodes of AOM in the previous 6 months, or four or more episodes in the previous 12 months with at least one episode in the past 6 months.</p> <p>The stated threshold for surgical intervention (under 12s) is:</p> <p>Children with persistent bilateral OME documented over a period of 3 months with a hearing level in the better ear of 25–30 dBHL or worse averaged at 0.5, 1, 2 and 4 kHz (or equivalent dBA where dBHL not available) should be considered for surgical intervention.</p> <p>This procedure is not routinely funded for <u>people over the age of 12</u> except under the following conditions:</p> <ul style="list-style-type: none"> • A middle ear effusion causing measured conductive hearing loss and is resistant to medical treatments. The patient must be experiencing disability due to deafness <p>OR</p> <ul style="list-style-type: none"> • Persistent Eustachian tube dysfunction resulting in pain (e.g. whilst flying) <p>OR</p> <ul style="list-style-type: none"> • As one possible treatment for Meniere’s disease <p>OR</p> <ul style="list-style-type: none"> • Severe retraction of the tympanic membrane if the clinician feels this may be reversible and reversing it may help avoid erosion of the ossicular chain or the development of cholesteatoma <p>OR</p> <ul style="list-style-type: none"> • Grommet insertion as part of a procedure for the diagnosis or management of head and neck cancer and/or its complications. <p>Patients should be encouraged to stop smoking prior to surgery</p>

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 006	Pinnaplasty/ Otoplasty	<p>This procedure is not routinely funded for adults on cosmetic grounds.</p> <p>Royal College of Surgeons Commissioning Guidance recommends pinnaplasty for children aged 5-18.</p> <p>The CCG will consider funding for children when:</p> <ul style="list-style-type: none"> • the child is aged between 5 and 18 years old And • the surgeon has defined the deformity to the ear(s) as severe enough to require surgical correction And • the child has clearly expressed concerns to the clinician which in their opinion or following a psychological assessment, it is considered that this is likely to be remedied through correction of the ear deformity. <p>Details of the child's psychosocial concerns must be clearly described in the IFR application.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 007 A/B/C	Rhinoplasty/ Septorhinoplasty/ Septoplasty	<p>The CCG will not routinely fund nasal surgery to correct the following 3 situations :</p> <ul style="list-style-type: none"> • To stop snoring • Cosmetic appearance of the nose • For patients who are unhappy with the outcome of previous surgeries including immediate post-trauma corrections (whether provided by the NHS or private providers) <p><u>A. Rhinoplasty</u></p> <p>Patient has:</p> <p>a) Nasal deformity is secondary to congenital cleft lip and/or palate (NB: this should be managed by a specialist cleft team)</p> <p>OR</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>b) Chronic non-septal nasal airway obstruction from vestibular stenosis (collapsed internal valves), which may be due to trauma, disease, or congenital defect, when ALL of the following criteria are met:</p> <ol style="list-style-type: none"> i. Prolonged, persistent obstructed nasal breathing; ii. Physical examination confirming moderate to severe vestibular obstruction; iii. Airway obstruction will not respond to septoplasty alone; iv. Nasal obstruction is causing significant symptoms (e.g. chronic rhinosinusitis, difficulty breathing); v. Conservative management for 6 months or more failed to relieve symptoms; vi. Patient suffers from severe or extreme obstruction of one or both nares. <p>NB: Recommend use of Nasal Obstruction Symptom Evaluation (NOSE) Scale instrument (score 55 or more).</p> <p>OR</p> <p>c) Significant distortion of external anatomy subsequent to recent trauma</p> <p>NB: A humped or bent nose is not by itself sufficient evidence of injury</p> <p><u>B. Septorhinoplasty</u></p> <p>Patient must meet the following 2 criteria:</p> <ul style="list-style-type: none"> • Patient requires the procedure as an integral part of a medically necessary septoplasty; <p>AND</p> <ul style="list-style-type: none"> • Patient has gross nasal obstruction on the same side as the septal deviation, so that to correct the nasal obstruction the external skeleton will also need correction.

Policy Number	Procedure / Treatment	Guidance Notes
		<p><u>C: Septoplasty</u></p> <p>Patient has:</p> <ul style="list-style-type: none"> Asymptomatic septal deformity that prevents access to other intranasal areas when such access is required to perform medical necessary surgical procedures (e.g. ethmoidectomy) <p>OR</p> <ul style="list-style-type: none"> Patient has recurrent rhino-sinusitis due to a deviated septum not relieved by appropriate medical and antibiotic therapy after at least 6 months of medical therapy <p>OR</p> <ul style="list-style-type: none"> Patient suffers from recurrent epistaxis (nosebleeds) related to a septal deformity <p>OR</p> <ul style="list-style-type: none"> Patient suffers from continuous nasal airway obstruction resulting in nasal breathing difficulty due to obvious and severe septal deviation with no other cause for the patient's apparent breathlessness (e.g. rhinitis, COPD) <p>OR</p> <ul style="list-style-type: none"> Patient require this procedure with the association of cleft palate repair <p>AND (in the case of open septoplasty)</p> <ul style="list-style-type: none"> Patient has an extremely deviated nasal septum that cannot be corrected adequately with an intranasal septoplasty. <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 008	Tonsillectomy	<p>Tonsillectomy is not routinely funded except in persons who meet the following criteria:</p> <ul style="list-style-type: none"> Sore throats that are due to acute tonsillitis <p>AND</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> • Episodes of sore throat that are disabling and prevent normal functioning <p>AND</p> <ul style="list-style-type: none"> • Seven or more well documented clinically significant, adequately treated sore throats in the preceding year. <p>(It is recognised, however, that not all episodes of tonsillitis will be documented in a face-to-face consultation as many will be treated at home. Significant time off work or school or a hospital admission are examples of situations that would suggest that a definite episode of tonsillitis had occurred).</p> <p>OR</p> <ul style="list-style-type: none"> • Five or more such episodes in each of the preceding two years. <p>OR</p> <ul style="list-style-type: none"> • Three or more such episodes in each of the preceding three years <p>Other indications for referral for tonsillectomy include complications such as peritonsillar abscess (quinsy), pharyngeal obstruction or 2 episodes of emergency hospital admission for tonsillitis or complications (this indicates severity and the SIGN criteria do not apply).</p> <p>Patients should be encouraged to stop smoking prior to surgery</p> <p>Tonsillectomy for Tonsil Stones (Tonsilloliths) or halitosis alone is not funded unless the patient meets the criteria above.</p>

4. Gynaecology

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 009	Dilation and Curettage	<p>CCG will fund dilation and curettage for diagnostic purposes for suspected malignancy and for evacuation of retained products of conception. NICE Heavy Menstrual Bleeding CG 44 states that D&C is not recommended alone as a diagnostic tool or as a therapeutic treatment for heavy menstrual bleeding. Vacuum aspiration is the preferred treatment for removing retained products of conception. D&C for the investigation of abnormal uterine bleeding is appropriate in the following circumstances:</p> <p>Transvaginal ultrasound with Pipelle endometrial aspirate has failed due to cervical stenosis or pain and facilities for a hysteroscopy with targeted biopsy are unavailable</p> <p>OR</p> <ul style="list-style-type: none"> • Hysteroscopy with targeted biopsy has failed/is not possible due to cervical stenosis, pain or inability to dilate the cervix <p>OR</p> <ul style="list-style-type: none"> • Transvaginal ultrasound has demonstrated focal pathology and facilities for a hysteroscopy with targeted biopsy are unavailable <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 010	Pelvic Organ Prolapse (surgical management of)	<p>Surgical management of pelvic organ prolapse – this procedure is not routinely funded for asymptomatic or mild pelvic organ prolapse.</p> <p>Referral for specialist assessment with the option of surgical treatment is indicated for:</p> <ul style="list-style-type: none"> • Patients with grade 1-2 POP whose symptoms have not improved with conservative management. This could include: <ul style="list-style-type: none"> ○ lifestyle modification (losing weight, minimising heavy lifting, preventing/treating constipation) ○ supervised pelvic floor muscle training for at least 16 weeks ○ topical oestrogen

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> ○ pessary fitting <p>OR</p> <ul style="list-style-type: none"> • Patients with grade 1-2 POP combined with urethral sphincter incompetence or faecal incontinence <p>OR</p> <ul style="list-style-type: none"> • Patients with moderate to severe symptoms of prolapse (for example, grade 3-4, or including urethral sphincter incompetence or faecal incontinence), where conservative management has not improved symptoms, is not appropriate (for example, due to cognitive impairment) or has been declined by the patient. <p>Providers are strongly encouraged to ensure shared decision making takes place with women considering surgery for POP.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNR2 011	Female Sterilisation	<p>Sterilisation will not be available on non-medical grounds unless the woman has had at least 12 months' trial using Mirena or Implanon and found it unsuitable.</p> <p>The CCG will fund this procedure:</p> <ul style="list-style-type: none"> • Where sterilisation is to take place at the time of another procedure such as caesarean section <p>OR</p> <ul style="list-style-type: none"> • Where there is a clinical contraindication to the use of a Mirena/Implanon <p>OR</p> <ul style="list-style-type: none"> • Where there are severe side effects with the use of Mirena/Implanon <p>OR</p> <ul style="list-style-type: none"> • Where there is an absolute clinical contraindication to pregnancy.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>These are:</p> <ul style="list-style-type: none"> • Young women (under 45 years of age) undergoing endometrial ablation for heavy periods • Women with severe diabetes • Women with severe heart disease <p>AND</p> <ul style="list-style-type: none"> • Women should be informed that vasectomy carries a lower failure rate in terms of post-procedure pregnancies and that there is less risk related to the procedure <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 012	Hysterectomy for heavy menstrual bleeding	<p>This procedure will only be funded in line with NICE guidance (CG44).</p> <p>NICE: Pharmaceutical treatment should be considered as first line intervention for women with no structural or histological abnormality suspected or fibroids less than 3cm in diameter.</p> <p>In women with heavy menstrual bleeding alone, with a uterus no bigger than a 10-week pregnancy, endometrial ablation should be considered preferable to hysterectomy.</p> <p>Hysterectomy should only be considered when:</p> <ul style="list-style-type: none"> • Other treatment options have failed, are contraindicated or are declined by the woman • There is a wish for amenorrhoea • The woman (who has been fully informed) requests it • The woman no longer wishes to retain her uterus and fertility <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 013	Labiaplasty	NHS England Interim Policy

Policy Number	Procedure / Treatment	Guidance Notes
		<p>The CCG Policy is line with the NHS England interim policy for Labiaplasty, which states: “Labiaplasty is generally a cosmetic procedure to change appearance alone and is not routinely funded”. Requests for Labiaplasty are considered with the following indications:</p> <ul style="list-style-type: none"> • Where the labia are directly contributing to recurrent disease or infection (this could include ulceration/maceration) <p>OR</p> <ul style="list-style-type: none"> • Where the repair of the labia is required after trauma <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 014	Uterine Artery Embolisation for fibroids in woman	<p>UAE may be considered for the treatment of symptomatic large (>3cm) or multiple fibroids and offered as a one off treatment when:</p> <ul style="list-style-type: none"> • The patient is symptomatic <p>AND</p> <ul style="list-style-type: none"> • Conservative management at first and second line (including but not limited to; gonadotropin releasing hormone analogues (GnRHAs), non-steroidal anti-inflammatory drugs (NSAIDs), hormone replacement therapy (HRT), Levonorgestrel intrauterine system (LNG-IUS), tranexamic acid, other appropriate contraceptives, Ulipristal acetate if clinically appropriate, has been unsuccessful <p>AND</p> <ul style="list-style-type: none"> • Woman of child-bearing age who wish, or might wish, to become pregnant in the future should only be offered the intervention after fully informed discussion about potential risks to fertility and should be considered on a case by case basis • This procedure should only be carried out by radiologists with the appropriate training and competence.

Policy Number	Procedure / Treatment	Guidance Notes
		Patients should be encouraged to stop smoking prior to surgery

5. Musculoskeletal

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 014	Arthroscopy of the knee	<p>Arthroscopy of the knee can be undertaken where a competent clinical examination (or MRI scan if there is diagnostic uncertainty or red flag symptoms/signs/conditions) has demonstrated clear evidence of an internal joint derangement due to acute trauma/injury (meniscal tear, ligament rupture or loose body) and where conservative treatment has failed or where it is clear that conservative treatment will not be effective.</p> <p>Knee arthroscopy will not be funded in cases of degenerative knee disease with or without mechanical symptoms (with the exception of cases with true locked knee).</p> <p>Autologous chondrocyte implantation (ACI) will be funded in line with the recommendations under NICE TA477:</p> <ul style="list-style-type: none"> • The person has not had previous surgery to repair articular cartilage defects <p>AND</p> <ul style="list-style-type: none"> • There is minimal osteoarthritis damage to the knee (as assessed by clinicians experienced in investigating knee cartilage damage using a validated measure for knee osteoarthritis) <p>AND</p> <ul style="list-style-type: none"> • The defect is over 2 cm² <p>AND</p> <ul style="list-style-type: none"> • The procedure is done at a tertiary referral centre

Policy Number	Procedure / Treatment	Guidance Notes
		Patients should be encouraged to stop smoking prior to surgery
N/A	Arthroscopy of the hand / wrist	Removed from policy as no thresholds are utilized
N/A	Arthroscopy of the elbow	Removed from policy as no thresholds are utilized
TNR2 015	Carpal tunnel syndrome (Surgical techniques for the treatment of)	<p>The CCG will only fund this intervention if:</p> <ul style="list-style-type: none"> • Acute, severe symptoms persist after conservative therapy with either local corticosteroid injection by a trained, competent practitioner, and/or nocturnal splinting <p>OR</p> <ul style="list-style-type: none"> • Mild to moderate symptoms persist for a period of up to 6 months but no less than 4 months after conservative therapy with either local corticosteroid injection (if appropriate) and/or nocturnal splinting (used for at least 8 weeks) <p>OR</p> <ul style="list-style-type: none"> • There is neurological deficit e.g. sensory blunting, muscle wasting or weakness of thenar abduction, or proven neurophysiological changes <p>OR</p> <ul style="list-style-type: none"> • Severe** symptoms significantly interfere with daily activities <p>Patients who are diabetic, and those who are aged 65 and over, should be referred urgently, without first attempting conservative therapies.</p> <p><u>Definition of severe**</u></p> <p>The CCG will follow the CTS severity symptoms defined in the BSSH classification:</p> <p>Mild: Intermittent paraesthesia with or without pain that may be nocturnal, or occurs with a certain hand position.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Moderate: Paraesthesia that interferes with activities of daily living or causes constant night waking and/or reversible numbness and/or pain (perhaps by clenching and unclenching of fist or hand shaking).</p> <p>Severe: Constant numbness or disabling pain with wasting of thenar muscles, and/or weakness of thumb muscles (Abductor Pollicis Brevis and Opponens Pollicis).</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 016	Balloon kyphoplasty for vertebral compression fractures	<p>NICE Interventional Procedure Guidance 166 supports the use of balloon kyphoplasty if the procedure is undertaken following discussion with a specialist multidisciplinary team that includes a radiologist and a spinal surgeon.</p> <p>The guidance also states that there should be good imaging facilities, arrangements for access to a spinal surgery service and that clinicians reach an appropriate level of expertise before carrying out the procedures. In particular, they must follow the manufacturer's instructions for making the cement, to reduce the risk of embolisation.</p> <p>The CCG expect this service to be provided at centres that fulfil all the conditions stipulated by NICE.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 017	Discectomy for Lumbar Disc Prolapse (elective)	<p>This procedure is not routinely funded unless:</p> <ul style="list-style-type: none"> • The patient has had appropriate imaging e.g. MRI or CT showing disherniation (protrusion, extrusion, or sequestered fragment) at a level and side corresponding to the clinical symptoms <p>AND</p> <ul style="list-style-type: none"> • The patient has radicular pain (below the knee for lower lumbar herniations; into the anterior thigh for upper lumbar herniations) consistent with the level of spinal involvement <p>OR</p> <ul style="list-style-type: none"> • There is evidence of nerve-root irritation with a positive nerve-root tension sign (straight leg raise-positive between 30o and 70o or positive femoral tension sign)

Policy Number	Procedure / Treatment	Guidance Notes
		<p>AND</p> <ul style="list-style-type: none"> • Symptoms persist despite some non-operative treatment for at least 6 weeks (e.g. analgesia, physiotherapy, bed rest, etc.), provided that analgesia is adequate and there is no imminent risk of neurological deficit <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 018	Dupuytren's contracture – Surgical Treatment / Interventional Procedures, including Needle Fasciotomy	<p>The CCG will only fund surgical treatment/interventional procedures if:</p> <ul style="list-style-type: none"> • There is a metacarpophalangeal joint contracture of 30° or more <p>OR</p> <ul style="list-style-type: none"> • Any degree of proximal interphalangeal joint contracture <p>OR</p> <ul style="list-style-type: none"> • The patient exhibits the characteristics of Dupuytren's diathesis i.e. earlier age of onset, bilateral disease or extra-palmar disease. <p>OR</p> <ul style="list-style-type: none"> • The patient shows recurrent symptoms of the disease. <p>If an exact measurement is not possible, the clinical assessment should include an evaluation of the extent of disease and an estimate of severity/deformity.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 019	Ganglions: Wrist and surgical techniques for treatment of	<p>This procedure is not routinely funded except in severe cases.</p> <p>Classification:</p> <ul style="list-style-type: none"> • Mild - Asymptomatic lump • Moderate – 1) Symptomatic lump; long duration of symptoms - pain lasting 3-6 months (2) Occult ganglia – hidden ganglion (3) Cancer-phobia – excessive fear of malignancy

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> • Severe – 1) Nerve or blood vessel compression with restriction of activities of daily living or (2) concern regarding diagnosis. <p>Treatment:</p> <ul style="list-style-type: none"> • All patients should be informed that most ganglia resolve spontaneously with the passage of time. • For mild and moderate cases - reassurance and observation. • Aspiration of cancer reassurance - refer for ultrasound / MRI if concerns re diagnosis. <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 020	Hallux valgus: Surgical treatment of	<p>Surgery is offered if symptoms are severe or deteriorating and the risk-benefit ratio is judged favourable.</p> <ul style="list-style-type: none"> • Conservative management measures should have been tried and should have failed to resolve the condition before surgery is considered. • There should be severe deformity causing significant functional impairment of daily activities <p>OR</p> <ul style="list-style-type: none"> • Severe pain requiring regular painkillers and causing significant functional impairment of daily activities. <p>Conservative management techniques include:</p> <ul style="list-style-type: none"> • Avoiding high heeled shoes and wearing wide fitting leather shoes which stretch • Exercises specifically designed to alleviate the effects of a bunion and keep it flexible • Applying ice and elevating painful and swollen bunions • Use of bunion pads, splints, insoles or shields <p>Significant functional impairment is considered as:</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> Symptoms which prevent the patient fulfilling vital work or educational responsibilities, <p>OR</p> <ul style="list-style-type: none"> Symptoms which prevent the patient carrying out vital domestic or carer activities. <p>Before consulting a specialist for surgery, patients must accept that they will be unable to drive for 6 weeks (or 2 weeks after surgery on the left foot if driving an automatic car) and will be off work for 2 weeks for a sedentary job.</p> <p>In addition to the above criteria, smoking cessation and weight management should be considered as an integral part of appropriate clinical management prior to consideration of any elective surgery (with referral to appropriate services if indicated).</p> <p>Current evidence on safety and efficacy in relation to the correction of hallux valgus using minimal access techniques is inadequate NICE (IPG 332).</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 021	Spinal fusion for the treatment of lower back pain	<p>This procedure will only be funded in line with NICE guideline (CG88) iv. This treatment will be funded for patients who:</p> <ul style="list-style-type: none"> Have completed an optimal package of care, but have failed all conservative treatment. <p>AND</p> <ul style="list-style-type: none"> Still have severe lower back pain for which they would consider surgery <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 022	Trigger finger: surgical techniques for the treatment of	<p>One in five patients with Trigger Finger will improve without any intervention other than resting the hand and allowing the inflammation time to settle. Surrey CCGs will only fund surgical techniques for the treatment of Trigger Finger when the following criteria are met:</p> <ul style="list-style-type: none"> The patient has failed to respond to conservative treatment which includes two corticosteroid injections. (Please note: Referral for surgery should only be considered if the patient has failed to

Policy Number	Procedure / Treatment	Guidance Notes
		<p>respond to both steroid injections. Given steroid injections take time to be effective, any referral or request for funding for surgery must not be made until at least 3 months has elapsed after the second corticosteroid injection).</p> <p>OR</p> <ul style="list-style-type: none"> • The patient has a fixed flexion deformity that cannot be corrected <p>OR</p> <ul style="list-style-type: none"> • The patient has diabetes. In this case the patient should be referred without first attempting conservative management <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 023	Vertebroplasty (Percutaneous)	<p>This procedure will only be funded in line with NICE IPG 12. This procedure should only be undertaken when there are arrangements for good access to a spinal surgery service, and with prior discussion between a specialist multidisciplinary team that includes a radiologist and a spinal surgeon. Clinicians should receive training to reach an appropriate level of expertise before carrying out this procedure. In particular, they must follow the manufacturer's instructions for making the cement, to reduce the risk of embolisation.</p> <p>The procedure should be limited to patients whose pain is refractory to more conservative treatment.</p> <ul style="list-style-type: none"> • The patients pain is refractory to more conservative treatment <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 023 A	Total Hip Replacement for Osteoarthritis	<p>Funding THR for OA only when all other treatment options and conservative measures have failed.</p> <p>These include :</p> <ul style="list-style-type: none"> • Medication: oral/topical NSAIDS1 and paracetamol based analgesics <p>AND</p> <ul style="list-style-type: none"> • Patient Education

Policy Number	Procedure / Treatment	Guidance Notes
		<p>AND</p> <ul style="list-style-type: none"> • Physiotherapy and exercise <p>AND</p> <p>Healthy lifestyle improvements:</p> <ul style="list-style-type: none"> • It is strongly advised to reduce weight where indicated and to reduce BMI so that it is within the healthy range. <p>Patients who smoke should have been encouraged to stop smoking 8 to 12 weeks before referral to reduce the risk of surgery and post-surgery complications. Patients should be routinely offered referral to smoking cessation services to reduce these surgical risks.</p> <p>The following criteria must <u>also</u> be met:</p> <ul style="list-style-type: none"> • Intense to severe persistent pain which leads to severe functional limitations <p>OR</p> <ul style="list-style-type: none"> • Moderate functional limitation affecting the patients quality of life despite 3 months of conservative measures <p><u>Exceptions should include:</u></p> <ul style="list-style-type: none"> • Patients whose pain is so severe and/or mobility is compromised that they are in immediate danger of losing their independence and that joint replacement would relieve this • Patients in whom the destruction of their joint is of such severity that delaying surgical correction would increase the technical difficulties of the procedure <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 023 B	Total Knee Replacement	The CCG will ONLY fund TKR for OA in patients meeting the following criteria. It is presumed that all referrals meet these criteria prior to referral unless exceptional in which case the referral should document explicitly the reason for exceptional circumstances.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Prior conservative management should have been tried and failed and must include all of the following:</p> <ul style="list-style-type: none"> a. <u>Medication</u> <ul style="list-style-type: none"> - analgesics; - anti-inflammatory medications. b. <u>Physiotherapy (as recommended by NICE)</u> <ul style="list-style-type: none"> - muscle strengthening; - supervised physical therapy. c. <u>Patient education</u> <ul style="list-style-type: none"> - benefits of eliminating damaging influences on knees; - benefits of activity modifications; - support aids. d. <u>Healthy lifestyle improvements</u> <ul style="list-style-type: none"> - It is strongly advised to reduce BMI to (evidence suggests less than 35 kg/m²) as this may reduce complications and improve outcomes. Patients with a BMI greater than 35 kg/m² should be routinely offered referral to a weight management service to reduce these risks; - Patients who smoke should have been encouraged to stop smoking 8 to 12 weeks before referral to reduce the risk of surgery and post-surgery complications. - Patients should be routinely offered referral to smoking cessation services to reduce these surgical risks.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>And when the following criteria have been met :</p> <ul style="list-style-type: none"> a. Uncontrolled, intense, persistent pain resulting in substantial impact on quality of life and moderate functional limitations which have failed a reasonable period of maximal conservative treatment; The above being evidenced by XRay/MRI Scan showing bone on bone damage <p>AND</p> <ul style="list-style-type: none"> b. Symptoms refractory to at least 3 months conservative management for the condition. <p>The policy does not affect criteria for Immediate/Urgent Referral to Orthopaedic Services in respect of:</p> <ul style="list-style-type: none"> - Evidence of infection in the knee joint - Symptoms indicating a rapid deterioration in the joint - Persistent symptoms that are causing severe disability <p>*Glucosamine products and hyaluronic acid are not routinely funded. Hyaluronic acid will only be made available on an individual basis for patients whom other pharmacological options have been intolerable, ineffective or have been unable to undergo surgery.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 023 C	Hip Impingement Syndrome	<p>Open or arthroscopic femero-acetabular surgery for hip impingement is commissioned if the following criteria are met:</p> <ul style="list-style-type: none"> • Labral tear or impingement has been confirmed on MRI <p>AND</p> <ul style="list-style-type: none"> • Where hip arthroscopy is supported in the washout of an infected native hip joint in patients refractory to medical management, patients with underlying disease or patients who are immunosuppressed

Policy Number	Procedure / Treatment	Guidance Notes
		<ul style="list-style-type: none"> Where hip arthroscopy is supported for the removal of radiologically proven loose bodies within the hip joint with an associated acute traumatic episode. Arthroscopy is not supported as a diagnostic tool where there is suspicion of loose bodies The clinician has ensured that the patient understands what is involved, is aware of the serious known complications outlined in NICE patient information and agrees to the treatment knowing that there is only evidence of symptom relief in the short and medium term <p>AND</p> <ul style="list-style-type: none"> The surgeon must have completed specialist training and have experience of providing arthroscopic hip surgery <p>AND</p> <ul style="list-style-type: none"> The provider will provide full data on 100% patients undergoing this procedure to the British Hip Society register (currently being established to support assessment of long term outcomes) <p>AND</p> <ul style="list-style-type: none"> The provider will undertake local review of cases to monitor safety and short term outcomes <p>Patients should be encouraged to stop smoking prior to surgery</p>

6. Ophthalmology

Policy Number	Procedure / Treatment	Guidance Notes
N/A	Cataract Surgery	Removed from policy as no thresholds are utilized
TNRF2 025	Excision of Chalazion	This procedure is not routinely funded.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Chalazia (meibomian cysts) are benign, granulomatous lesions that will normally resolve. Treatment consists of regular (four times daily) application of heat packs.</p> <p>The CCG will fund excision of chalazia when the following criteria are met:</p> <ul style="list-style-type: none"> • Chalazion will be removed when it has been present for a minimum of 4 months <p>AND</p> <ul style="list-style-type: none"> • When it is causing blurred vision <p>OR</p> <ul style="list-style-type: none"> • When it is a source of regular infection that has required medical attention twice or more <p>The watchful waiting period should usually be between 4 to 6 months at the clinician's discretion as many will resolve with conservative management during that time.</p> <p><u>In common with all types of lesions, the CCG will fund removal where malignancy is suspected.</u></p>
TNRF2 026	Blepharoplasty / ptosis surgery	<p>Blepharoplasty will not be funded for cosmetic reasons. The CCG will consider funding in the following circumstances:</p> <p><u>Upper blepharoplasty:</u></p> <p>There is documented evidence of interference with vision (such as difficulty reading, driving, looking through the eyelids or seeing the upper eyelid skin)</p> <p>OR</p> <p>There is redundant skin overhanging the upper eyelid margin and resting on the eyelashes when gazing straight ahead</p> <p>OR</p> <p>There is evidence that where it is not overhanging, the upper lid covers the upper pupil margin</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>AND</p> <p>Evidence from visual field testing shows the visual fields are reduced to 120 degrees laterally and/or 20 degrees or less superiorly. A degree of interpretation will need to be applied as the area of the visual field where the missing points are concentrated within the 120 to 20 range is crucial to establish the severity of the visual impairment.</p> <p>AND</p> <p>Photographic evidence is provided and must show the redundant skin overhanging the upper eyelid margin and resting on the upper eyelashes when gazing straight ahead. Photographs must be taken:</p> <ul style="list-style-type: none"> • From the front with the camera at eye level with the lid in a relaxed position and the person looking ahead. • The above will need to be supplemented by a picture taken from the side of the patient. <p>NB It is recommended that the test is monocular and that the 120 point Humphrey visual field chart is used.”</p> <p><u>Lower blepharoplasty:</u></p> <p>Correction of ectropion or entropion which threatens the health of the affected eye.</p> <p>OR</p> <p>Removal of lesions of eyelid skin or lid margin causing functional problems</p> <p>OR</p> <p>Rehabilitative surgery for patients with thyroid eye disease.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>

7. Other Surgery

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 027	Inguinal hernia in adults (elective surgical repair of)	<p>This procedure is not routinely funded for asymptomatic or mildly symptomatic inguinal hernias in adults. Patients should be referred for surgical assessment if they meet the following criteria <u>(These criteria apply to adults only):</u></p> <ul style="list-style-type: none"> • A history of incarceration of, or real difficulty reducing, the hernia <p>OR</p> <ul style="list-style-type: none"> • An inguino-scrotal hernia <p>OR</p> <ul style="list-style-type: none"> • Increase in size month to month <p>OR</p> <ul style="list-style-type: none"> • Pain or discomfort significantly interfering with activities of daily living <p>OR</p> <ul style="list-style-type: none"> • Work related issues e.g. of work/missed work/unable to work/on light duties due to hernia <p>Patients with femoral hernias should be referred for consultation.</p> <p>NB: All cases of suspected femoral hernia and groin hernias in women are routinely funded.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 028	Umbilical hernia in adults (elective surgical repair)	<p>Surgical treatment should only be offered when one of the following criteria is met (These criteria apply to adults only):</p> <ul style="list-style-type: none"> • Pain/discomfort interfering with activities of daily living <p>OR</p> <ul style="list-style-type: none"> • Increase in size month on month

Policy Number	Procedure / Treatment	Guidance Notes
		<p>OR</p> <ul style="list-style-type: none"> To avoid incarceration or strangulation of the bowel <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 029	Incisional hernia in adults (elective surgical repair)	<p>Surgical treatment should only be offered when both of the following criteria are met (These criteria apply to adults only):</p> <ul style="list-style-type: none"> Pain/discomfort interfering with activities of daily living <p>AND</p> <ul style="list-style-type: none"> Appropriate conservative management has been tried first, e.g. Weight reduction where appropriate <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 030	Female Breast reduction (previously listed in TNRF Policy No. Change to Criteria)	<p>Breast reduction should only be considered an option for patients who fulfil all of the following criteria:</p> <ul style="list-style-type: none"> Documented and ongoing physical symptoms of back, neck and/or shoulder pain due to large breasts (plus documented evidence of treatment for pain) Requires more than 500g tissue removed from each breast (to be assessed by surgeon*) BMI of <26kg/m² Non-smoker <p>GPs should not refer patients into secondary care if they do not fulfil the above outlined criteria (with the exception of estimating the amount of tissue*). This recommendation does not apply to patients undergoing breast reconstruction as part of treatment for breast cancer.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 031	Breast implant removal and replacement	<p>Removal of implants will be considered, if at least one of the following criteria are met:</p> <p>Please note: Replacement of Breast Implants will not be considered.</p> <ul style="list-style-type: none"> • Rupture of silicone-filled implant • Implants complication by recurrent infections • Extrusion of implant through skin • Implants with Baker Class IV contracture • Implants with a contracture that interferes with mammography • Intrinsic breast disease <p>Surrey CCGs do not replace breast implants for aesthetic reasons. Re-insertion of implants following removal:</p> <p>(i) Where implants were originally funded by the NHS for non-cosmetic reasons (such as breast cancer or severe trauma) then replacements should be considered in line with the reason for the original funding for implants.</p> <p>(ii) Where implants were originally funded solely for cosmetic reasons they will not be replaced.</p> <p>If implants are bilateral and one implant has to be removed for a sound clinical reason, it will not be replaced so the woman should be given the choice as to whether she wishes only one or both implants to be removed.</p> <p>Privately-funded implants: where implants have been previously funded privately and require removal for a sound clinical reason and this has occurred within 12 months of insertion, the applicant should in the first instance approach the private provider to correct the problem rather than pursuing NHS funding.</p> <p>Where cases fall outside of these criteria and there is a possibility that they may be considered either rare or exceptional or both, they can be considered through the usual IFR process.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		Patients should be encouraged to stop smoking prior to surgery
TNRF2 040	Hyperhidrosis, treatment of	<p>Endoscopic Thoracic Sympathectomy surgery for severe palmar or axillary hyperhidrosis will only be funded when all routinely commissioned treatment options have failed.*</p> <p>In addition, the patient must be fully informed of the risks, benefits, side effects of the procedure and the characteristics of a patient likely to experience better outcomes</p> <p>Routinely commissioned treatment options include:</p> <ul style="list-style-type: none"> • Lifestyle interventions • Aluminium chloride • Oral anticholinergics – please note that the PCN has recommended oxybutynin and propantheline as the preferred oral anticholinergics. Oral glycopyrronium is not recommended for routine prescribing and is black on the Surrey traffic light system (however patients already on treatment should be given the choice to continue) • Local surgery (only for axillary hyperhidrosis) • Botulinum toxin A is not routinely supported for the treatment of primary hyperhidrosis and patients should not be referred to secondary care for this treatment. • Patients should be informed of the risks of serious complications of the procedure such as hyperhidrosis elsewhere on the body in around 50% of patients, failure to reduce hyperhidrosis and some patients regret having had the procedure (especially because of subsequent and persistent hyperhidrosis elsewhere) • Funding Endoscopic Thoracic sympathectomy for craniofacial hyperhidrosis only when it coexists with facial blushing • Not funding endoscopic thoracic sympathectomy for plantar hyperhidrosis due to limited evidence on effectiveness

Policy Number	Procedure / Treatment	Guidance Notes
		Patients should be encouraged to stop smoking prior to surgery
TNRF2 042	Gallstones, surgical treatment of	Cholecystectomy will not be funded for asymptomatic gallstones Where patients are asymptomatic, an IFR application is required. Patients should be encouraged to stop smoking prior to surgery
TNRF2 043	Haemorrhoids, surgical treatment of	Often haemorrhoids (especially early stage haemorrhoids) can be treated by simple measures such as eating more fibre and drinking more water. When conservative treatments are unsuccessful, many patients will respond to outpatient treatments in the form of rubber band ligation or perhaps injection. Surgical treatment should only be considered for those that do not respond to these non-operative measures or if the haemorrhoids are more severe, specifically: <ul style="list-style-type: none"> • Recurrent Grade 3 or 4 combined internal/external haemorrhoids with persistent pain or bleeding • Irreducible and large external haemorrhoids Surgery should be performed, according to patient choice and only in cases of: <ul style="list-style-type: none"> • Persistent Grade 1 or 2 haemorrhoids that have not improved with dietary changes, banding or injection • Recurrent and symptomatic Grade 3 or 4 haemorrhoids and those with a symptomatic external component Patients should be encouraged to stop smoking prior to surgery

8. Other Procedures / Equipment

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 032	Assistive Communication Assessments (ACA) and Equipment	Assessment: The CCG will fund an ACA assessment where it has been recommended by Speech & Language Therapist for patients with ongoing complex communication needs. Equipment recommended as a result of these ACA assessments is not routinely funded by the NHS.
TNRF2 033A	Open MRI Scans	Open MRI should only be used for patients for whom it can be demonstrated that they are too obese to be able to be scanned on a closed MRI scanner or patients who have a genuine case of claustrophobia and have been offered a conventional MRI under sedation. Severely obese and claustrophobic patients should be referred for a formal suitability assessment for an open MRI by a radiologist. Any patient that requires an Open MRI scan and meets the criteria above should be referred to an appropriate Open MRI scanner facility on a Provider to Provider basis.
N/A	Continuous positive pressure for the obstructive sleep apnoea / hypo apnoea syndrome	Commissioning arrangements and criteria are to be established in 2015.
TNRF2 033B	Ketogenic diet for the treatment of neurological conditions	Ketogenic diet will only be funded for children up to the age of 18 years with: - intractable epilepsy OR - glucose 1 transporter deficiency OR - pyruvate dehydrogenase deficiency.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Intractable epilepsy is defined as: “a failure of adequate trials of 2 tolerated and appropriately chosen and used anti-epileptic drugs schedules”. Children with intractable epilepsy but who are candidates for surgery may not be eligible for the diet.</p> <p>The CCG recommend that the classical ketogenic diet (CKD) is used as first-line for those who might be considered under the POLCE. However, other variants of the diet may be used if this diet is not tolerated or appropriate for the patient.</p> <p>The CCG does not recommend funding the treatment for intractable epilepsy in adults due to the limited evidence-base or for other neurological conditions such as autism and epilepsy syndromes in children and adults due to the limited evidence-base.</p>

9. Pain Management

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 035	Lumbar Facet Joint injections	<p>Facet joint injections are NOT routinely funded for patients with chronic non-specific low back pain and those with sciatica.</p> <p>The CCG will fund medial branch blocks for the diagnosis of lumbar back pain to establish whether the main source of pain is thought to come from structures supplied by the medial branch as follows:</p> <p>The patient is part of a comprehensive pain management programme</p> <p>AND</p> <p>All non-surgical and conservative management options (physiotherapy treatments and guided exercise programmes, pharmacotherapy including analgesia and muscle relaxants) have been tried and failed.</p> <p>Please provide evidence of robust conservative therapy (e.g. physiotherapy report) within the last 12 months.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>AND</p> <p>The pain has resulted in moderate to significant impact on daily functioning (rated as 5 or more on a visual analogue scale, or equivalent) at the time of referral with no evidence of other pathology.</p> <p>No evidence of contraindications is present for the needle placement and injection of local anaesthetics.</p> <p>Patients should be encouraged to stop smoking prior to surgery.</p>
TNRF2 036	Spinal Epidural injections	<p>Epidural injections of local anaesthetic and steroid will be only be funded for people with acute and severe sciatica.</p> <p>Epidural injections will not be funded for neurogenic claudication in people who have central spinal canal stenosis.</p> <p>Patients should be encouraged to stop smoking prior to surgery.</p>
TNRF2 045	Radiofrequency denervation	<p>Radiofrequency denervation can be offered according to NICE guideline (NG59) if all non-surgical and alternative treatments have been tried and there is moderate to severe chronic pain that has improved in response to diagnostic medical branch block. Consider referral for assessment for radiofrequency denervation for people with non-specific low back pain when:</p> <p>Non-surgical treatment has not worked for them and the main source of pain is thought to come from structures supplied by the medial branch nerve and they have moderate or severe levels of localised back pain (rated as 5 or more on a visual analogue scale, or equivalent) at the time of referral.</p> <p>AND</p> <p>Only perform radiofrequency denervation in people with non-specific low back pain after a positive response to a diagnostic medial branch block.</p> <p>AND</p> <p>Do not offer imaging for people with non-specific low back pain with specific facet joint pain as a prerequisite for radiofrequency denervation.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		Patients should be encouraged to stop smoking prior to surgery.

10. Urology

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 037	Circumcision	<p>This procedure is not routinely funded.</p> <p>The CCG will fund circumcision when the procedure is clinically indicated. Examples of clinical indications (not to be taken as an exhaustive list) are:</p> <ul style="list-style-type: none"> • Severe phimosis, recurrent balanitis and where cancer is suspected • When congenital urological abnormalities require skin grafting • Cases of traumatic foreskin injury where it cannot be salvaged • Symptomatic cases of paraphimosis when conservative treatment has failed <p>When there is interference with normal sexual activity in an adult male.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>
TNRF2 044	Surgical removal of Varicocele	<p>Surgical removal of varicocele in adults and children may be funded where the patient meets the following criteria:</p> <p><u>Children (under 16):</u></p> <p>Grade II or III varicocele</p> <p>AND</p> <p>asymmetrical >2 cm³</p> <p>OR</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>>20% difference</p> <p><u>Adults:</u></p> <p>Grade II or III varicocele</p> <p>AND</p> <p>symptomatic with persistent pain/significant discomfort despite adequate conservative management.</p> <p>OR</p> <p>with abnormal semen parameters.</p> <p>Men should be informed that surgery for varicoceles as a form of fertility treatment is not advised because it may not improve pregnancy rates.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>

11. Vascular Surgery

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 038	Varicose Veins	<p>Varicose veins are classified on clinical, etiological, anatomical and pathophysiological grounds, referred to as CEAP stages 2-6. The CCG will fund the following:</p> <ol style="list-style-type: none"> 1. Refer CEAP C4 and above to a specialist vascular service. C2 and C3 should be monitored regularly in primary care in case they become worse. 2. Treat CEAP C2 and C3 with compression therapy except when superficial thrombophlebitis extends up to the thigh as this indicates that referral to a specialist vascular service is required (due to the risk of deep vein thrombosis). 3. Immediately refer patients with bleeding veins to a specialist vascular service.

Policy Number	Procedure / Treatment	Guidance Notes		
		CEAP Classification	Description	Signs
		Class 1		None
		Class 2	Varicose veins	None
		Class 2T	Varicose veins with superficial thrombophlebitis	superficial thrombophlebitis
		Class 3	Varicose Veins with limited skin changes at the ankle with the possibility of further complications	Oedema, venous eczema, superficial phlebitis
		Class 4a	Skin changes ascribed to venous disease	Pigmentation or eczema or both, Oedema
		Class 4b	Skin changes ascribed to venous disease	Lipodermatosclerosis Oedema, venous eczema
		Class 5	Late stage venous disease	Severe skin changes, active or healed ulceration, bleeding from varicose vein
		Class 6	active venous ulcer	Active venous ulcer
Patients should be encouraged to stop smoking prior to surgery				

12. Weight Management

Policy Number	Procedure / Treatment	Guidance Notes
TNRF2 041	Bariatric Surgery (primary and revision surgery)	<p><u>Primary Surgery</u></p> <p>The CCG will routinely fund Primary Bariatric Surgery for patients to meet all of the following criteria.</p> <ul style="list-style-type: none"> • Patient is 18 years or over • Patient has a BMI of 40kg/m² or more <p>OR</p> <ul style="list-style-type: none"> • A BMI between 35 kg/m² and 40kg/m² in the presence of other significant diseases. • Patient has undergone a formalised MDT led processes for the screening of comorbidities and the detection of other significant diseases. • Morbid/severe obesity has been present for at least five years. • The individual has attended a local bariatric surgery information session prior to referral • The individual has followed dietary and exercise advice for at least 12 months • For patients with BMI > 50 attending a specialist bariatric service, this period may include the stabilisation and assessment period prior to bariatric surgery. The minimum acceptable period is six months. • The CCG will not commission the removal of excess skin resulting from weight loss following bariatric surgery. Please ensure that the patient is aware of this policy before proceeding with Bariatric Surgery <p><u>Revision Surgery</u></p> <p><u>Group 1:</u></p> <p>Patients presenting with a clinical history, symptoms and/or signs that suggest acute/acute on chronic/worsening medical and /or surgical complications related to their primary obesity operation.</p>

Policy Number	Procedure / Treatment	Guidance Notes
		<p>Patients must be triaged and treated immediately if classified as 'emergency'. Patients are triaged by an MDT and may be assessed as 'clinically urgent' if they are judged to have a subsequent risk of developing emergency complications if they remain untreated. This category will include patients with adverse anatomical complications or the primary surgery but exclude loss of restriction due to dilatations of the gastric pouch and/or the gastro-jejunal junction.</p> <p>This corrective surgery, or in rare cases reversal surgery, would be as per routine and considered as good clinical practice. Trusts (providers) should triage referral letters from GPs, hospital consultants on this basis</p> <p>CCGs will routinely fund Group 1 patients.</p> <p><u>Group 2:</u></p> <p>The patient has failed to achieve expected average weight loss targets for the primary obesity procedure performed or regained their pre-operative weight. This category will include patients who following a Gastric Bypass develop a dilated gastric pouch or gastro-jejunal anastomotic dilatation.</p> <p>This category will not include patients who have previously had vertical banded gastroplasty.</p> <p>The CCG will not be routinely funding Group 2 patients. Please submit an IFR application if you feel this patient is rare or clinically exceptional.</p> <p><u>Group 3:</u></p> <p>The patient has multiple, severe and life threatening co-morbidities which have persisted or re-emerged following primary obesity surgery despite strong evidence that the patient has both attended and engaged with the follow up programmed and multidisciplinary assessment has determined and agreed:</p> <ul style="list-style-type: none"> • The co-morbidities are potentially life threatening or present a significant risk to health and well – being that is both severe and serious (in the short to medium term) • The presence of clear grounds of clinical exceptionality.

Policy Number	Procedure / Treatment	Guidance Notes
		<p>The CCG will not be routinely funding Group 3 patients. Please submit an IFR application if you feel this patient is rare or clinically exceptional.</p> <p><u>Group 4:</u></p> <p>Some patients may have had their primary obesity surgery outside of NHS contracts at independent/private providers (including abroad) but subsequently present at NHS facilities as clinical emergencies. The NHS has a duty of care for these patients and will fund emergency and clinically urgent treatment as per Group 1.</p> <p>Patients should be encouraged to stop smoking prior to surgery</p>